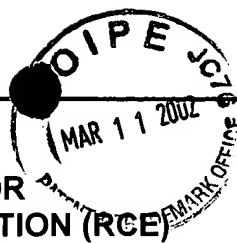


#8/RCE



4/12/02
✓

**REQUEST FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000 provides for continued examination of a utility or plant application filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA)

| |
|--|
| Application Number: 09/688,969 |
| Filing Date: October 17, 2000 |
| First Named Inventor: Yoshitaka Tsunashima |
| Group Art Unit: 2814 |
| Examiner: S. Rao |
| Attorney Docket Number: 04329.1952-01 |
| Attorney Customer Number: 22,852 |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

Note: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) instead of a RCE to be eligible for patent term adjustment provisions of the AIPA. See "Changes to Application Examination and Provisional Application Practice," Interim Rule, 65 Fed. Reg. 14865 (March 20, 2000). Off. Gaz. Pat. Office 47 (April 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114:

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply after final under 37 C.F.R. § 1.116 previously filed on _____
- ii. ☐ Consider the arguments in the Appeal Brief of Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed:
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement
- iv. ☐ Other _____

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2. Miscellaneous

- a. ☐ Suspension of action on the above-mentioned application is requested under 37 C.F.R. § 1.103(c) for a period of [number] months. (Period of suspension shall not exceed 3 months; fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other _____

3. Fees

- a. ☒ The filing fee is calculated as follows:
- i. ☒ \$740.00 RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Petition for extension of time for (Two Months) \$400.00
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$1140.00 enclosed.
- c. ☒ The Commissioner is authorized to charge any deficiencies in the filing fees, or credit any overpayments to Deposit Account No. 06-0916.

Signature of Applicant, Attorney, or Agent Required

| | |
|-----------------------------------|------------------|
| Name: Bryan S. Latham | Reg. No.: 49,085 |
| Signature: <i>Bryan S. Latham</i> | Date: 3/11/02 |

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, BOX RCE, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: [Date]

| | |
|--------------|-------------------------------------|
| Name: [Text] | 03/12/2002 CCHAU1 00000059 09688989 |
| Signature: | Date: 01 FC:179 740.00 DP |



PCE/2614

PATENT

Customer No. 22,852

Attorney Docket No. 04329.1952-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Yoshitaka Tsunashima et al.) Group Art Unit: 2814
)
Application No.: 09/688,989) Examiner: S. Rao
)
Filed: October 17, 2000)
)
For: SEMICONDUCTOR DEVICE AND)
METHOD OF MANUFACTURING)
THE SAME)

Commissioner for Patents
Washington, DC 20231

Sir:

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TRANSMITTAL LETTER

Enclosed is a reply to the Office Action of October 10, 2001. The items checked below are appropriate:

- ☒ Applicants hereby petition for a two month extension of time to respond to the above Office Action. The fee of \$400.00 for the Extension is enclosed.

The claims are calculated below:

| | Claims Remaining After Amendment | | Highest Number Previously Paid | Present Extra | Rate | Additional Fee |
|---|-------------------------------------|---|-----------------------------------|------------------|---------|-------------------|
| Total | [number] | - | [number] | [number] | x \$ 18 | \$ [number] |
| Indep. | [number] | - | [number] | [number] | x \$ 84 | [number] |
| <input type="checkbox"/> First Presentation of Multiple Dep. Claim(s) | | | | | +\$280 | [number] |
| Subtotal | | | | | | \$ [number] |
| Reduction by 1/2 if small entity | | | | | | - [number] |
| TOTAL | | | | | | \$ [number] |

- ☐ A fee of \$[Fee] to cover the cost of the additional claims added by this reply is enclosed.
- ☒ A fee of \$740.00 to cover a Request for Continued Examination is enclosed.
- ☒ A check for \$1140.00 to cover the above fees is enclosed.

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DUNNER LLP

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Washington, DC 20005
202.408.4000
Fax 202.408.4400
www.finnegan.com

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: March 11, 2002

By: Bryan S. Latham
Bryan S. Latham
Reg. No. 49,085

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DUNNER LLP

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#9/581/Ampt
②
4/12/02
PATENT
V. Short

Customer No. 22,852
Attorney Docket No. 04329.1952-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Yoshitaka Tsunashima et al.) Group Art Unit: 2814
)
Application No.: 09/688,989) Examiner: S. Rao
)
Filed: October 17, 2000)
)
For: SEMICONDUCTOR DEVICE AND)
METHOD OF MANUFACTURING)
THE SAME)

Commissioner for Patents
Washington, DC 20231

Sir:

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AMENDMENT

In reply to the Office Action dated October 10, 2001, the period for reply having been extended for two months to March 11, 2002 (March 10 being a Sunday) by a request for extension and fee payment filed concurrently herewith, and the prosecution on the merits having been reopened by a Request for Continued Examination filed concurrently herewith, please amend the application as follows:

IN THE CLAIMS:

Please amend claims 27, 28, 31, and 32 and add new claim 33, as follows:

27. (Twice Amended) A semiconductor device comprising:
a semiconductor substrate;

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